

AD _____

MIPR NUMBER 94MM4580

TITLE: Breast Cancer Incidence in Occupational Cohorts Exposed to Ethylene Oxide and Polychlorinated Biphenyls

PRINCIPAL INVESTIGATOR: Elizabeth M. Ward, Ph.D.

CONTRACTING ORGANIZATION: National Institute for Occupational
Safety and Health
Cincinnati, Ohio 45226

REPORT DATE: August 1996

TYPE OF REPORT: Annual

PREPARED FOR: Commander
U.S. Army Medical Research and Materiel Command
Fort Detrick, Frederick, Maryland 21702-5012

DISTRIBUTION STATEMENT: Approved for public release;
distribution unlimited

The views, opinions and/or findings contained in this report are those of the author(s) and should not be construed as an official Department of the Army position, policy or decision unless so designated by other documentation.

DTIC QUALITY INSPECTED 2

19961021 084

REPORT DOCUMENTATION PAGE

Form Approved

OMB No. 0704-0188

Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302, and to the Office of Management and Budget, Paperwork Reduction Project (0704-0188), Washington, DC 20503.

1. AGENCY USE ONLY (Leave blank)		2. REPORT DATE August 1996	3. REPORT TYPE AND DATES COVERED Annual (1 Aug 95 - 31 Jul 96)	
4. TITLE AND SUBTITLE Breast Cancer Incidence in Occupational Cohorts Exposed to Ethylene Oxide and Polychlorinated Biphenyls			5. FUNDING NUMBERS 94MM4580	
6. AUTHOR(S) Elizabeth M. Ward, Ph.D.				
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) National Institute for Occupational Safety and Health Cincinnati, Ohio 45226			8. PERFORMING ORGANIZATION REPORT NUMBER	
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES) Commander U.S. Army Medical Research and Materiel Command Fort Detrick, Frederick, Maryland 21702-5012			10. SPONSORING/MONITORING AGENCY REPORT NUMBER	
11. SUPPLEMENTARY NOTES				
12a. DISTRIBUTION / AVAILABILITY STATEMENT Approved for public release; distribution unlimited			12b. DISTRIBUTION CODE	
13. ABSTRACT (Maximum 200) NIOSH is evaluating breast cancer incidence in two large study cohorts, which have been previously assembled. One of the cohorts includes approximately 10,000 women with exposure to ethylene oxide (ETO), a direct alkylating agent which produces mammary tumors in mice. The other cohort includes over 13,000 women exposed to polychlorinated biphenyls (PCBs), a group of chemicals suspected to be carcinogenic to the breast because of their lipophilic and estrogenic activities. Each cohort represents the largest and best defined female study cohort in the U.S. for the respective exposure. The primary activities for this year have focused on establishing vital status and mailing addresses for individuals in the two study cohorts, and seeking OMB approval for the study questionnaires. Work to accomplish vital status and address identification is proceeding in a timely fashion. OMB approval was received in April of 1996.				
14. SUBJECT TERMS Breast Cancer			15. NUMBER OF PAGES 11	
			16. PRICE CODE	
17. SECURITY CLASSIFICATION OF REPORT Unclassified	18. SECURITY CLASSIFICATION OF THIS PAGE Unclassified	19. SECURITY CLASSIFICATION OF ABSTRACT Unclassified	20. LIMITATION OF ABSTRACT Unlimited	

FOREWORD

Opinions, interpretations, conclusions and recommendations are those of the author and are not necessarily endorsed by the US Army.

____ Where copyrighted material is quoted, permission has been obtained to use such material.

____ Where material from documents designated for limited distribution is quoted, permission has been obtained to use the material.

____ Citations of commercial organizations and trade names in this report do not constitute an official Department of Army endorsement or approval of the products or services of these organizations.

____ In conducting research using animals, the investigator(s) adhered to the "Guide for the Care and Use of Laboratory Animals," prepared by the Committee on Care and Use of Laboratory Animals of the Institute of Laboratory Resources, National Research Council (NIH Publication No. 86-23, Revised 1985).

XX ____ For the protection of human subjects, the investigator(s) adhered to policies of applicable Federal Law 45 CFR 46.

____ In conducting research utilizing recombinant DNA technology, the investigator(s) adhered to current guidelines promulgated by the National Institutes of Health.

____ In the conduct of research utilizing recombinant DNA, the investigator(s) adhered to the NIH Guidelines for Research Involving Recombinant DNA Molecules.

____ In the conduct of research involving hazardous organisms, the investigator(s) adhered to the CDC-NIH Guide for Biosafety in Microbiological and Biomedical Laboratories.

Elmer Ward 8/26/96
PI - Signature Date

TABLE OF CONTENTS

INTRODUCTION.....	1
Nature of the Problem.....	1
Background.....	1
Study Objectives	2
BODY.....	3
Methods.....	3
Exposure Assessment.....	3
Data Analysis.....	4
Progress to Date.....	5
CONCLUSIONS.....	6
REFERENCES.....	7

INTRODUCTION

Nature of the Problem

Breast cancer is the most common incident cancer among U.S. women, and the second leading cause of cancer mortality in U.S. women.¹ Increasing numbers of women are employed outside the home. Few studies of breast cancer etiology have addressed occupational and environmental chemical exposures, and many cancer studies of industrial cohorts have excluded women.² Occupational exposure to carcinogens may be of particular concern when the exposures occur during periods of rapid cell division, such as during pregnancy or lactation.²

Only recently has the importance of studying occupational cancer among women workers been recognized.³ Identification of carcinogenic hazards among women workers is of benefit not only to individuals with occupational exposures, but also to the general population, if identification of a hazardous chemical leads to reduction of environmental exposure.

NIOSH is evaluating breast cancer incidence in two large study cohorts, which have been previously assembled. One of the cohorts includes 9,929 women with exposure to ethylene oxide (ETO), a direct alkylating agent which produces mammary tumors in mice.^{4,5} The other cohort includes over 13,000 women exposed to polychlorinated biphenyls (PCBs), a group of chemicals suspected to be carcinogenic to the breast because of their lipophilic and estrogenic activities. Each cohort represents the largest and best defined female study cohort in the U.S. for the respective exposure.

Background

The incidence of breast cancer in the U.S. has increased in the past two decades.¹ Known epidemiologic risk factors for breast cancer include a family history of breast cancer, early age at menarche, nulliparity or late age at first birth, and later age at menopause.⁶ It has been hypothesized that exposure to chemicals in environmentally contaminated food is related to the increasing incidence of breast cancer.⁷ According to this hypothesis, chemicals that bioaccumulate in fatty tissues and those that are estrogenic are most likely to be associated with breast cancer risk. Many chlorinated hydrocarbons, including 2,3,7,8-tetrachlorodibenzo-p-dioxins, PCBs and some pesticides are lipophilic and estrogenic to varying degrees. One recent study found elevated PCB concentration in the mammary tissue of patients with breast cancer compared to patients with benign breast disease.⁸ Another study did not find a significant association between serum PCB concentration and risk of breast cancer, but did find a significant association for DDE (a metabolite of DDT).⁹ According to the IARC rating scheme, PCBs are probable human carcinogens¹⁰ with sufficient evidence from experimental animal studies to classify PCBs as carcinogenic, but limited evidence from human studies.

Agents that act directly on DNA, such as radiation and chemical alkylating agents, have also

been shown to be carcinogenic in mammary tissue in animal studies and in humans.⁷ Although the chemicals that induce breast cancer in humans are not well-defined, it is reasonable to suspect that chemicals that produce mammary tumors in rodent bioassays may also be breast carcinogens in humans.¹¹ IARC has determined that ETO is a carcinogen based on limited evidence in humans and sufficient evidence in animals (IARC, 1987).¹⁰ The IARC determination was based primarily on leukemia and brain cancer in animals, and leukemia in humans. However, there was also some evidence of mammary cancer in animals and breast cancer in humans exposed to ETO.

Thus, the proposed study has two subsets: 1) a cohort of women exposed to PCBs, a class of compounds suspected to be breast carcinogens because of their lipophilic and estrogenic activities, and 2) a cohort of women exposed to ETO, a direct alkylating agent which produces mammary tumors in animals.

Study Objectives

The study has the following primary objectives:

- a. To evaluate whether exposure to ETO or PCBs is associated with increased breast cancer.
- b. To identify incident cases of breast cancer in the ETO and PCB cohorts and to collect information on exposures and relevant risk factors by questionnaire surveys of living women and the next-of-kin of deceased women.
- c. To determine whether the incidence of breast cancer is elevated in each cohort (ETO and PCB) compared to the general population, controlling for non-occupational factors that may be associated with both breast cancer risk and employment status (i.e., nulliparity, age at first birth).
- d. To evaluate the exposure-response relationship within each cohort (ETO and PCB), controlling for non-occupational risk factors.
- e. If either study (ETO or PCB) is positive, to provide data for risk assessments to estimate risk in the general population.

BODY

Methods

Given the high survival rates for women diagnosed with breast cancer (>75% for white women and >60% for black women), cancer incidence is a much more sensitive indicator of increased risk than cancer mortality. Longstanding population-based cancer registries are available for only one of the three PCB facilities, which is located in New York State and three of the 14 ETO plants. Thus, cancer incidence for both cohorts will have to be determined through the use of questionnaires. (Registry matching will be done for the plants in areas covered by population based-registries since this will provide an additional means of identifying cases, particularly for individuals who could not be contacted). The questionnaire approach also allows the collection of data on non-occupational risk factors, which are required for the full interpretation of the incidence study results.

Exposure Assessment

Ethylene oxide. NIOSH has assembled by far the largest existing cohort of ethylene oxide-exposed workers (n=18,000). This cohort includes 9,929 women, contributing 196,788 person-years of observation through 1991. All were exposed in the commercial sterilization industry. The average year of first exposure was 1970, and the average length of exposure was 5 years. Considerable effort was spent in developing an estimate of ETO exposure for each worker in the study for each of their jobs held throughout employment. Over 2,300 personal samples were obtained for the 1979-1985 period at 13 different plants, and these as well as knowledge of historical processes were used to estimate exposures. The resulting "job-exposure" matrix has been cited as one of the better estimates of historical exposures in occupational epidemiology, and it permits quantitative dose-response analyses which can rarely be done in most historical epidemiologic studies. Average ETO exposures in this cohort were 2-3 ppm in the 1970s and 1980s, with higher exposures occurring in the 1960s. Using the job-exposure matrix, we will be able to determine whether the risk of breast cancer increases with increasing dose.

Polychlorinated biphenyls. The particular PCB compound used at each capacitor plant varied over time. The three plants ceased using PCBs in 1976 or 1977. Serum PCB measurements are available for only a small fraction of workers. Although it is not feasible to construct an exposure matrix for PCBs based on air concentrations (because of the limited number of air samples available and potential for dermal absorption of PCBs), we will be able to classify jobs as having had high, medium or low exposure. Thus we can determine whether the risk of breast cancer rises with increased dose.

Classification of jobs by high, medium, or low exposure will be done primarily by the department and job in which an individual worked. Duration and calendar period of

exposure will also be taken into account. The type of exposure data that is available varies by company; all industrial hygiene and other relevant data (e.g., serum data) which have been collected at all three companies will be considered. For the first company, descriptions of jobs and tasks, and identification of the highest exposed group are available from a prior NIOSH study. For the second company, the above information is available plus the company has serum data that NIOSH has requested. In addition, a plant survey and interviews of long-term workers and foremen were conducted in 1993. For the third company, descriptions of jobs and tasks are available from a prior NIOSH study, and NIOSH collected serum PCB data from a sample of workers. In addition, plant survey information, worker interviews, and records of raw materials and production processes are available from this facility. Factors to be considered in classifying exposure include the opportunity for direct exposure to PCBs (e.g., dermal exposure), air sampling measurements for specific job titles, and degree of chlorination of the PCB mixture used at a particular time.

Data Analysis

Two types of analyses of breast cancer incidence will be conducted. The first analysis will evaluate the risk of occupational exposure within each cohort, controlling for non-occupational risk factors by stratification or modeling. This analysis will be restricted to those for whom data on confounding variables are available, and thus will be restricted to respondents (either living or next-of-kin), or nonrespondents from whom data on confounding variables can be obtained from another source (e.g., medical records). This internal analysis will compare those with higher exposure to those with lower exposure. In the analysis of the ethylene oxide cohort, the dose matrix based on historical ethylene oxide air concentrations will be used to conduct a quantitative dose-response analysis. For the PCB cohort, duration of exposure in high, medium, and low exposure jobs will be analyzed, as well as ever/never employment in such jobs. For both analyses, the exposure-response relationship will be evaluated using regression analyses (e.g., Cox and Poisson regression). The direct control over confounders and the potential to analyze dose-response relationships give this first analysis advantages over the second analysis described below.

The second analysis will answer the question of whether the incidence of breast cancer in the study cohort is higher than that expected in the general population. This analysis, which does not require data on confounders for each subject (see below) will include those women for whom it is possible to ascertain that they did or did not have breast cancer, based on all possible sources. Analyses will compare the observed population to the U.S. population for the combined exposed group and by categories of cumulative exposure. The number of incident breast cancers expected in the cohort if they were developing breast cancer at the same rate as the general population will be calculated using the NIOSH life table analysis system (LTAS). A referent rate file will be constructed using data from the SEER (Surveillance, Epidemiology, and End

Results) population. This population combines data from a number of state and city registries and represents about 14% of the U.S. population. It is frequently used as a referent population in epidemiologic studies and is commonly taken to be reasonably representative of the entire U.S. population. The potential effect of non-occupational risk factors that may act as confounders because they are related to both employment status and disease, such as age at first birth, parity, and socioeconomic status, will be estimated using methods developed to assess the effects of smoking habits on lung cancer risk in occupational cohorts.¹² This method relies on determining the differences between exposed and nonexposed populations with respect to known confounders, and adjusting the observed breast cancer rate ratio between exposed and nonexposed to account for such differences, using the known relationships between such confounders and breast cancer rates. Data on the distribution of confounders in the exposed population will be available from the questionnaire. Data on the distribution of confounders in the nonexposed U.S. referent population will be obtained from published survey data, such as the data on parity in the U.S. population available from fertility tables for different birth cohorts. Known relationships between confounders and breast cancer will be obtained from large published epidemiologic studies.

Progress to Date

The accomplishments to date have focused primarily on questionnaire review and ascertainment of vital status and current mailing address for potential study participants. The activities have included:

- Questionnaire review. Last year, we reported that after a lengthy review process we received Office of Management and Budget (OMB) approval for a pre-test of the survey instruments among 30 women, but not approval for the full study. It was recommended by OMB that a Science Advisory Panel be established to review the study protocol, including pretest results, comparison groups, methodology, and study design. OMB's concerns arose as a result of comments directed to OMB by the ETO and PCB companies involved. We established the Science Advisory Panel in September 1995, conducted the pretest in October/November, and held a public peer review meeting of the Advisory Panel on December 13, 1995 in Washington, DC. Colonel Irene M. Rich of the Department of Defense, Breast Cancer Research Program attended the meeting. Because one of the concerns raised by the companies was the rigor of the DoD review process, Col. Rich offered to give a brief overview of the peer review process for this audience. In January of 1996, we received a final report from the Science Advisory Panel giving us full and enthusiastic support for the study. In April of 1996, we received OMB approval for the full study.
- Ascertainment of vital status and current mailing addresses. The primary focus of the second year of this project was vital status and address tracing for living cohort members

and next-of-kin of deceased members. These tasks have entailed searches of large databases such as the National Death Index (NDI), Internal Revenue Service (IRS), Social Security Administration (SSA), and the Health Care Finance Administration (HCFA). More targeted strategies, including departments of motor vehicles and credit bureaus, will be implemented this year. Current addresses are being confirmed by the U.S. Postal Service which keeps records of recent address changes. Vital status has been determined for approximately 90% of the PCB cohort and 97% of the ETO cohort. Current mailing addresses have been identified for approximately 80% of the living members of the PCB cohort and 95% of the living members of the ETO cohort. Tracing of next-of-kin of deceased subjects is underway.

- Survey research contractor. For this study, a contractor will be responsible for mailing the questionnaires, coding, and entering the data, and retrieving medical records for confirmation of reported breast cancer diagnoses. A Statement of Work has been issued and a contract will be let by the end of this fiscal year.

CONCLUSIONS

The primary activities for the second year have focused on establishing mailing addresses for individuals in the two study cohorts, and seeking OMB approval for the study questionnaires. Work to accomplish address identification is proceeding in a timely fashion. OMB approval was received in April of this year.

REFERENCES

1. Kelsey, JL, Horn-Ross PL. Breast cancer: magnitude of the problem and descriptive epidemiology. *Epidemiologic Reviews* 15: 7-16, 1993.
2. John EM, Kelsey JL. Radiation and other environmental exposures and breast cancer. *Epidemiologic Reviews* 15: 157-162, 1993.
3. Zahm SH, Pottern LM, Lewis DR, et al. Inclusion of women and minorities in occupational cancer epidemiologic research. *J Occup Med* 36:842-7, 1994.
4. Steenland K, Stayner L, Greife A, et al. Mortality among workers exposed to ethylene-oxide. *N Eng J Med* 324:1402-1407, 1991.
5. National Toxicology Program, Toxicology and carcinogenesis studies of ethylene oxide (CAS No. 75-21-8) in B6C3F1 mice inhalation studies, NIH Publication 86-2582, Research Triangle Park, 1986.
6. Kelsey JL. Breast cancer epidemiology: summary and future directions. *Epidemiologic Reviews* 15: 256-253, 1993.
7. Pollner, F. A holistic approach to breast cancer research. *Environmental Health Perspectives* 101: 116-120, 1993.
8. Falck F, Ricci A, Wolff MS, et al. Pesticides and polychlorinated biphenyl residues in human breast lipids and their relation to breast cancer. *Arch Environ Health* 47: 143-146, 1992.
9. Wolff MS, Toniolo EWL, Rivera M, Dubin N. Blood levels of organochlorine residues and risk of breast cancer. *J Nat Cancer Inst* 85: 648-602, 1993.
10. International Agency for Research on Cancer. "IARC monographs on the evaluation of carcinogenic risks to humans; overall evaluations of carcinogenicity: an updating of IARC monographs volumes 1 to 42." World Health Organization 1987; Suppl. 7 IARC, Overall evaluations of carcinogenicity, an updating of IARC monographs 1-42, supplement 7, IARC, Lyon, France, 1987.
11. El-Bayoumy K. Environmental carcinogens that may be involved in human breast cancer etiology. *Chem Res Toxicol* 5:585-590, 1992.
12. Axelson O, Steenland K. Indirect methods of assessing the effects of tobacco use in occupational studies. *Am J Indus Med* 13: 105-118, 1988.